

02-14-02

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UNITED STATES PATENT APPLICATION TRANSMITTAL FORM

**BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
Washington, D.C. 20231**

Docket No.: 20 01 0575

11046 U.S. PTO
10/074135
02/12/02

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventors: Peter Thoma and Emmerich Mueller

For: LINEAR CAVITY TUNABLE LASER

Enclosed are:

XXX Specification (12pps.) consisting of: Description (9pps); Claims (2 pps); Abstract (1 pp);

XXX 4 (four) sheets of drawings;

XXX Preliminary Amendment;

_____ Declaration and Power of Attorney;

_____ An assignment of the invention to: _____ including \$40.00 recordation fee and Assignment Recordation Form Cover Sheet;

XXX Information Disclosure Statement (with copies of patent);

XXX Form - PTO-1449;

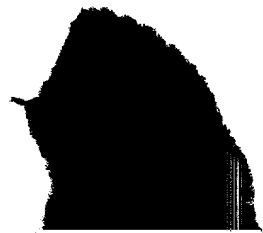
_____ The undersigned attorney has verified that the applicant is entitled to a Small Entity Status; and

XXX Priority of European Patent Application Serial No. 01 1171 722.3 filed on May 15, 2001 is claimed under 35 U.S.C. §119.

XXX Priority document, European Patent Application Serial No. 01 1171 722.3.

_____ Priority of U.S. Provisional Patent Application Serial No. _____, filed on _____ is claimed under 35 U.S.C. §119(e).

_____ A Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).



The Filing Fee is calculated below.

| CLAIMS AS FILED | | | | |
|---------------------------------|---------------------|---------------------|-------------|------------------------------|
| (1) For | (2) Number Filed | (3) Number Extra | (4) Rate | (5) Basic Fee \$740.00 |
| Total Claims | 9- 20 = | 0 | x \$18 00 | \$ |
| Independent Claims | 1 - 3 = | 0 | x \$84.00 | \$ |
| Multiple Dependent Claim Fee | | x \$280.00 = \$0.00 | | |
| TOTAL FILING FEE | | \$740.00 | | |

| | |
|---------------------------------|-------------|
| 1/2 FILING FEE FOR SMALL ENTITY | \$00 |
|---------------------------------|-------------|

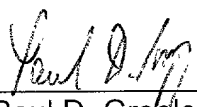
____ No fee enclosed – filing by missing parts.

XXX A check in the amount of \$ 740.00 for the filing fee is enclosed.

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Address all future communications to: **Paul D. Greeley, Esq.**
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, Connecticut 06901-2682
U.S.A.
Telephone: (203) 327-4500
Telefax: (203) 327-6401

February 12, 2002
Date of Signature



Paul D. Greeley, Esq.
Attorney for Applicants
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
Registration No. 31,019
(203) 327-4500

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV010450392US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on February 12, 2002.

Allison Berkman
(Typed name of person mailing paper)



(Signature of person mailing paper)